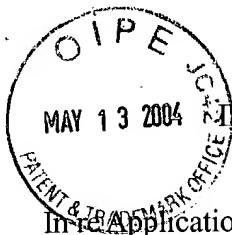


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S-14-04

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2125
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Andreas Potz et al.

Serial No.: 09/965,603

Filing Date: September 27, 2001

Title: Apparatus and Method for Generating
Operating Components§
§
§
§
§
§
§
§

Group Art Unit: 2125

Examiner: Ryan A. Jarrett

Attny. Docket No. 071308.0239

Client Ref.: 2000P23299US

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INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Sir:

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that
the art listed on the attached PTO-1449 form be considered and cited in the examination of the
above-identified application. A copy of the cited art is enclosed for the convenience of the
Examiner.

The enclosed documents were cited by the German Patent Office in the Search
Report of the corresponding German application. The documents which have not previously
been provided in an earlier-filed Information Disclosure Statement are attached.

05/17/2004 RHEBRAHY 00000100 09965603

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Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

As this Information Disclosure Statement transmitted herewith is being filed after the mailing date of the first NonFinal Office Action on the merits, and pursuant to 37 C.F.R. §1.97(c), Applicants enclose herewith a check in the amount of \$180.00. Applicants believe no additional fee is due. However, should the Commissioner deem any additional fees are due, including any fees for extensions of time, Applicants respectfully request that the Commissioner accept this as a Petition therefore, and further direct the Commissioner to debit any additional fees due, or to credit any overpayment, to Baker Botts L.L.P. **Deposit Account No. 02-0383, (071308.0239)** for any other charges necessary for the filing of this Information Disclosure Statement.

BAKER BOTTS L.L.P. (023640)

Date: May 13, 2004

By: 
Andreas H. Grubert
(Limited recognition 37 C.F.R. §10.9)
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AGENT FOR APPLICANTS



**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
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LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

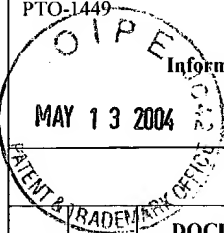
Mr. Andreas Horst Lothar Grubert is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Baker Botts LLP, to prepare and prosecute patent applications for clients of Baker Botts LLP in which a member of Baker Botts LLP is the attorney of record. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Mr. Andreas Horst Lothar Grubert ceases to lawfully reside in the United States, (ii) Mr. Andreas Horst Lothar Grubert's employment with Baker Botts LLP ceases or is terminated, or (iii) Mr. Andreas Horst Lothar Grubert ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: June 30, 2004

Harry I. Moatz
Director of Enrollment and Discipline

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PTO-1449  Information Disclosure Citation in an Application		Application No. 09/965,603		Applicant(s): ANDREAS POTZ ET AL.	
		Docket Number 071308.0239		Group Art Unit 2125	Filing Date September 27, 2001

U.S. PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1	DE 196 30 415	01-15-98	GERMANY	G05B	19/05	Abstract	
	2	DE 198 53 205	06-15-00	GERMANY	G05B	15/02	Abstract	
	3	DE 199 04 331	08-03-00	GERMANY	H04L	29/06	Abstract	
	4	DE 296 00 609 U1	03-27-97	GERMANY	G05B	19/05		x
	5	JP2000056813	02-25-00	JAPAN	G05B	19/02	Abstract	

NON-PATENT DOCUMENTS		
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
6	Joseph Desposito; "Visual Tools Heat Up Automation and Process-Control Development; Embedded systems; pp. 87-94	11/16/98
7	Harald Bruns, et al.; "Ein Konzept für eine COM/DCOM-basierte herstellernunabhängige Integration von Feldgeräten in Engineeringsysteme"; ATP-Automatisierungstechnische Praxis, pp. 34-40	1999
8	"Leittechnik verbannt den Rost"; Bandstahl-Feuerverzinken; IEE 45; pp. 64-66	2000
9	Ulrich Epple; "Das Prozeßleitsystem SIMATIC PCS 7 von Siemens"; atp – Automatisierungstechnische Praxis 40; pp. 14-26	1998

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.